Financial Exploitation of the Elderly
Communications, Financial Services & Interstate Commerce Committee
Tuesday, Aug. 9, 2016
3:15 p.m. – 5 p.m.

Session Agenda and Speaker Biographies

Seniors and their money are frequent targets of those who perpetrate financial frauds and scams, making it a growing concern in states. Learn about state legislation and federal policies designed to prevent the crime and protect the elderly and vulnerable adults.

3:15 – 3:25 p.m. Welcome and Introductions
Richard Corbett, Office of Legislative Services, New Jersey Legislative Staff Vice-Chair Communications, Financial Services & Interstate Commerce Committee

3:25 – 5 p.m. Speakers’ Discussion & Questions from the Audience

3:25 – 3:35 Diana Noel, Senior Legislative Representative, AARP, Washington, D.C.
3:35 – 3:45 Kathleen Quinn, Executive Director, National Adult Protective Services Association, Washington, D.C.
3:45 – 3:50 Quick Q&A
3:50 – 4:00 Ben Orzeske, Chief Counsel, Uniform Law Commission, Illinois
4:00 – 4:10 Joseph Brady, Executive Director, North American Securities Administrators Association, Washington, D.C.
4:10 – 4:15 Quick Q&A
4:25 – 4:35 Paul Richman, Vice President, Regulatory Affairs and Compliance, Insured Retirement Institute, Washington, D.C.
4:35 – 4:45 Ashley Niebur, Director, State Government Affairs, Illinois Credit Union League, Illinois
4:45 – 5:00 Discussion and Q&A
Joseph Brady  
Executive Director  
North American Securities Administrators Association  
Washington, D.C.  
Joseph Brady joined the North American Securities Administrators Association, Inc. (“NASAA”) in 2005 as associate counsel. In 2011, he was appointed General Counsel and in May 2015 was named as NASAA’s Executive Director. NASAA is the association of state, provincial, and territorial securities regulators in the United States, Canada, and Mexico. NASAA and its members are committed to protecting investors from fraud and abuse in securities transactions, and their activities focus on licensing, enforcement, and investor education.

Corey Carlisle  
Senior Vice President  
Bank Community Engagement  
American Bankers Association  
Washington, D.C.  
Corey Carlisle is a senior vice president at the American Bankers Association and the executive director of the ABA Foundation, where he oversees industry-leading efforts in financial education, affordable housing, and other community development and philanthropic activities. Corey currently serves on the board of directors of the National Jump$tart Coalition for Financial Literacy as well as the National Association of Affordable Housing Lenders. He has also served on a number of boards and coalitions advancing advocacy in the fields of community investment and revitalization. Prior to joining ABA, he was director of policy and government affairs at the Low Income Investment Fund and was associate vice president of governmental affairs at the Mortgage Bankers Association. Corey began his career as a senior legislative aide to Sen. Kent Conrad (D-N.D.) and went on to work for several large financial institutions and banks, including Freddie Mac and National City Corporation.

Ashley Niebur  
Director  
State Government Affairs  
Illinois Credit Union League  
Springfield, Illinois  
Ashley Niebur holds an undergraduate degree in Political Science, and is a graduate of Southern Illinois University School of Law, where she was active in a specialized program for State and Local Government Law. Ashley serves as Legislative Counsel and Director of State Governmental Affairs for the Illinois Credit Union League. Her primary focus is advocating in front of the Illinois General Assembly on behalf of over 300 credit unions providing financial services in Illinois. Ashley is actively engaged in both the legal and legislative aspects of advocacy, including: analyzing legislative proposals, drafting legislation, and working with lawmakers to ensure that credit union interests are represented as bills are moved through the legislative process. Ashley also serves as a resource for credit unions to ensure that they are in compliance with state and federal law. In that capacity, Ashley has developed training materials approved by the Illinois Department of Financial and Professional Regulation to help ensure that credit union employees are properly trained in recognizing the signs of financial exploitation.

Diana Noel  
Senior Legislative Representative  
AARP  
Washington, D.C.  
Diana Noel is a Senior Legislative Representative for AARP where she assists all 53 state offices on their advocacy efforts with elder abuse, financial exploitation, guardianship, power of attorney, and other asset protection issues for their state government affairs division. Diana represents AARP on the National Guardianship Network. Diana graduated from the University of Maryland College Park with a Bachelor of
Arts degree in Government and Politics and received her Master of Public Administration degree from Bowie State University.

Benjamin Orzeske  
Chief Counsel  
Uniform Law Commission  
Illinois  
Benjamin Orzeske is Chief Counsel at the Uniform Law Commission, a non-profit law reform organization formed in 1892 and made up of volunteer lawyers from all fifty states, plus the District of Columbia, Puerto Rico, and the US Virgin Islands. The ULC drafts uniform and model state legislation on topics for which uniformity is desirable and practical, and works for the enactment of uniform laws in state legislatures. Ben is Co-Chair of the Uniform Laws Committee in the ABA Section on Real Property Trust and Estate Law, and also a member of the ABA Business Law Section. He is the ULC’s staff liaison to the Joint Editorial Boards for Uniform Real Property Acts and Uniform Trust and Estate Acts. He is also the Vice-Chair of the Chicago Bar Association’s Legislative Committee. Ben is a graduate of the University of Illinois at Urbana-Champaign and DePaul University College of Law, and makes his home in Chicago.

Kathleen Quinn  
Executive Director  
National Adult Protective Services Association  
Washington, D.C.  
Kathleen Quinn has been the Executive Director of the National Adult Protective Services Association since 2006. Previously she served as Policy Advisor on Senior Issues to the Illinois Attorney General, and as the Chief of the Bureau of Elder Rights for the Illinois Department on Aging, where she was responsible for administering the statewide Elder Abuse and Neglect (APS) Program, the Long Term Care Ombudsman Program, and for overseeing the state’s Legal Services Developer. Ms Quinn earlier worked with the Illinois Coalition Against Domestic Violence, where she was responsible for the first ever statewide training of law enforcement and prosecutors on domestic violence and the then newly enacted Illinois Domestic Violence Act (IDVA). Ms. Quinn is a past president of NAPSA, a former board member of the National Committee for the Prevention of Elder Abuse, the Illinois Family Violence Coordinating Council, the Illinois Center for Violence Prevention and numerous local community charitable boards, and the recipient of several awards for victim advocacy work.

Paul Richman  
Vice President  
Regulatory Affairs and Compliance  
Insured Retirement Institute  
Washington, D.C.  
Paul has had 30 years of experience working in both government and the private sector where he has directed federal and state government affairs programs. Currently, Paul serves as the Vice President Government Affairs at the Insured Retirement Institute (IRI). IRI is the leading association for the retirement income industry and is the only association that represents the entire supply chain of insured retirement strategies. IRI members are the major insurers, asset managers, broker-dealers, distributors, and 150,000 financial professionals. As a not-for-profit organization, IRI provides an objective forum for communication and education, and advocates for the sustainable retirement solutions Americans need to help achieve a secure and dignified retirement. Prior to joining IRI, Paul has served as the Vice President of Government Affairs at the National Association of Professional Employer Organizations; the Director of Federal Affairs for the Port Authority of New York and New Jersey; Vice President for Policy and Government Affairs at NSI, Inc. a national government affairs firm representing fortune 500 clients; and Vice President of State Government Affairs at the Mortgage Bankers Association. Paul also has had a distinguished career in public service where he served in senior policy making positions during the Clinton Administration in the Office of the Vice President and in the Secretary’s policy office at the U.S. Department
of Labor. Prior to coming to DC, Paul served as the Special Counsel for Economic Development to former New York governor Mario Cuomo. He is a lawyer admitted to practice in New York, where he had received his Juris Doctor degree from Cardozo School of Law and his Bachelor of Arts degree cum laude from Brooklyn College, City University of New York.